



*Sector #3*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |  |                 |               |         |
|-------------|--|-----------------|---------------|---------|
| Applicants: | William J. Anderl, et al   | Date:           | June 05, 2001 |         |
| Serial No.: | 09/809,699   | Conf. No.:      | 3563          |         |
| Filed:      | March 15, 2001   | Group Art Unit: | 2874          |         |
| For:        | COMPACT OPTICAL TRANSCEIVERS<br>INCLUDING THERMAL DISTRIBUTING<br>AND ELECTROMAGNETIC SHIELDING<br>SYSTEMS AND METHODS THEREOF |                 | Examiner:     | Unknown |

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

**LETTER**

In response to the Notice To File Missing Parts of Application mailed 05/31/01, enclosed herewith is a Declaration executed by the inventor, whose signature did not appear on the Declaration as originally filed.

Authorization is hereby given to charge any fees, including fees under 37 CFR 1.16(e), to Deposit Account 09-0465. A duplicate copy of this letter is enclosed along with a copy of the Notice.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on

June 05, 2001  
(Date of Deposit)

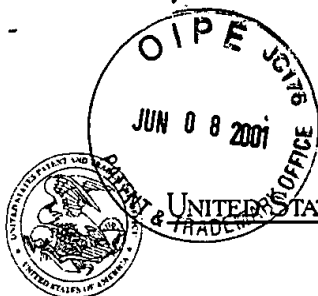
  
Leslie J. Payne

Respectfully submitted,

By: 

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Docket No.: ROC920010018US1  
Serial No.: 09/809,699



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/809,699         | 03/15/2001          | William James Anderl  | ROC920010018US1        |

CONFIRMATION NO. 3563

## FORMALITIES LETTER



\*OC000000006134003\*

Leslie J. Payne  
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Date Mailed: 05/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/20/2001 TV0111 00000010 090465 09809699

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FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Howard Victor Mahaney, Jr.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*FT*

Customer Service Center ,

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE